

02/11/02  
JC966 U.S. PTO

02-13-02

A

Practitioner's Docket No. NDRLASERUS

PATENT

Preliminary Classification:  
Proposed Class: 372  
Subclass: 92

11002 U.S. PTO

10/073716

02/11/02

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Box Patent Application  
Commissioner for Patents  
Washington, D.C. 20231

NEW APPLICATION TRANSMITTAL

Transmitted herewith for filing is the patent application of

CERTIFICATION UNDER 37 C.F.R. SECTIONS 1.8(a) AND 1.10\*  
(When using Express Mail, the Express Mail label number is *mandatory*;  
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I hereby certify that, on the date shown below, this correspondence is being:

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37 C.F.R. Section 1.8(a)

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☐ transmitted by facsimile to the Patent and Trademark Office (703) \_\_\_\_\_

Date: 2/11/02

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Thomas P. O'Connell  
(type or print name of person certifying)

**\*WARNING:** Each paper or fee filed by "Express Mail" must have the number of the "Express Mail" mailing label placed thereon prior to mailing. 37 C.F.R. Section 1.10(b).  
"Since the filing of correspondence under [Section] 1.10 without the Express Mail mailing label thereon is an oversight that can be avoided by the exercise of reasonable care, requests for waiver of this requirement will not be granted on petition." Notice of Oct. 24, 1996, 60 Fed. Reg. 56,439, at 56,442.

(New Application Transmittal—page 1)

Inventor(s): Victor Lazarev; Zhijiang Hang

For (title): HIGH-POWER SURFACE EMITTING LASER AND FABRICATION METHOD  
THEREOF

**1. Type of Application**

This transmittal is for an original (nonprovisional) application.

**2. Papers Enclosed**

**A.** Required for filing date under 37 C.F.R. 1.53(b) (Regular) or 37 C.F.R. 1.153 (Design)  
Application

17 Page(s) of Specification

9 Page(s) of Claims

7 Sheet(s) of Drawing(s)--Formal

**B.** Other Papers Enclosed

1 Page(s) of abstract

**3. Declaration or Oath**

Not Enclosed.

Application is made by a person authorized under 37 C.F.R. Section 1.41(c) on  
behalf of all of the above-named inventors.

**4. Inventorship Statement**

The inventorship for all the claims in this application is the same.

**5. Language**

English

6. **Fee Calculation (37 C.F.R. Section 1.16)**

Regular Application

CLAIMS AS FILED					
Claims	Number Filed	Basic Fee Allowance	Number Extra	Rate	Basic Fee 37 CFR 1.16(a) \$740.00
Total Claims (37 CFR 1.16(c))	39	- 20 =	19 x	\$18.00	\$342.00
Independent Claims (37 CFR 1.16(b))	5	- 3 =	2 x	\$84.00	\$168.00
Multiple Dependent Claim(s), if any (37 CFR 1.16(d))			+	\$270.00	\$0.00
Filing Fee Calculation					\$1,250.00

7. **Small Entity Statement(s)**

Statement that this is a filing by a small entity under 37 C.F.R. Sections 1.9 and 1.27 is attached.

Filing Fee Calculation (50% of above) \$625.00

8. **Fee Payment Being Made at This Time**

Enclosed  
Filing Fee \$625.00

**Total Fees Enclosed** \$625.00

**9. Method of Payment of Fees**

Check in the amount of \$625.00 is attached.

**10. Instructions as to Overpayment**

Refund.

**ADDED PAGES FOR NEW APPLICATION TRANSMITTAL WHERE BENEFIT OF  
PRIOR U.S. APPLICATION CLAIMED**

**11. Relate Back**

Amend the specification by inserting, before the first line, the following sentence:

**A. 35 U.S.C. Section 119(e)**

"This application claims the benefit of U.S. Provisional Application No.:

**APPLICATION NO.**

**FILING DATE**

60/267,905

02/10/2001

**12. Further Inventorship Statement Where Benefit of Prior Application(s) Claimed**

a. This application discloses and claims only subject matter disclosed in the prior application whose particulars are set out above and the inventors in this application are the same.

Date: 02/11/02

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